



PATENT  
ATTORNEY DOCKET NO.: 041501-5435

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                                       |   |                        |
|---------------------------------------|---|------------------------|
| In re Application of:                 | ) |                        |
|                                       | ) |                        |
| Suk Min SON                           | ) | Confirmation No.: 5079 |
|                                       | ) |                        |
| Application No.: 09/893,554           | ) | Art Unit: 2879         |
|                                       | ) |                        |
| Filed: June 29, 2001                  | ) | Examiner: S. Leurig    |
|                                       | ) |                        |
| For: FLAT LUMINESCENT LAMP AND METHOD | ) |                        |
| FOR FABRICATING THE SAME              | ) |                        |

Commissioner for Patents  
Washington, D.C. 20231

Sir:

**AMENDMENT TRANSMITTAL FORM**

1. Transmitted herewith is an Amendment responding to the Office Action dated February 21, 2003.
2. Additional papers enclosed:
  - ☐ Drawings: ☐ Formal ☐ Informal (Substitute)
  - ☐ Information Disclosure Statement
  - ☐ Form PTO-1449, \_\_\_\_ references included
  - ☐ Citations
  - ☐ Declaration of Biological Deposit
  - ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

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TECHNICAL SERVICES DIVISION  
MAR 28 2003

3. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.
- ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below:

| <u>Total Months Requested</u>         | <u>Fee for Extension</u> | <u>[Fee for Small Entity]</u> |
|---------------------------------------|--------------------------|-------------------------------|
| <input type="checkbox"/> one month    | \$ 110.00                | \$ 55.00                      |
| <input type="checkbox"/> two months   | \$ 410.00                | \$ 205.00                     |
| <input type="checkbox"/> three months | \$ 930.00                | \$ 465.00                     |
| <input type="checkbox"/> four months  | \$ 1,450.00              | \$ 725.00                     |

Extension of time fee due with this request: \$\_\_\_\_\_.

If an additional extension of time is required, please consider this a Petition therefor.

- ☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

4. Constructive Petition

- ☒ EXCEPT for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. § 1.136(a)(3).

5. Fee Calculation (37 C.F.R. §1.16)

| CLAIMS AS AMENDED                                     |   |       |                                |                  |              |            |
|---|---|-------|--------------------------------|------------------|--------------|------------|
|   | Claims<br>Remaining<br>After<br>Amendment |       | Highest No.<br>Previously Paid | Present<br>Extra | at Rate of   | Total Fees |
| Total Claims<br>(37 C.F.R. §1.16(c))                  | 12  | minus | 20                             | 0                | x \$18 each= | + \$ 0.00  |
| Independent Claims<br>(37 C.F.R. §1.16(b))            | 3   | minus | 3                              | 0                | x \$84 each= | + \$ 0.00  |
| [ ] First presentation of Multiple dependent claim(s) |   |       |                                |                  | \$280.00     | + \$ 0.00  |
| SUB-TOTAL =   |   |       |                                |                  |              | \$ 0.00    |
| Reduction by ½ for filing by a small entity           |   |       |                                |                  |              | - \$ 0.00  |
| TOTAL FEE =   |   |       |                                |                  |              | \$ 0.00    |

6. Fee Payment

- ☒ No fee is to be paid at this time.
- ☐ Check in the amount of \$\_\_\_\_\_ for \_\_\_\_\_. The Commissioner is hereby authorized to charge any additional extension of time fee or additional fee for claims due to Deposit Account No. 50-0310.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, including fees due under 37 C.F.R. §§ 1.16 and 1.17, or credit any overpayment to Deposit Account 50-0310.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

By: 

David B. Hardy  
Reg. No. 47,362

Dated: March 27, 2003

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In re Application of:

Suk Min SON

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Confirmation No.: 5079

Art Unit: 2879

Examiner: S. Leurig

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Washington, D.C. 20231

Sir:

AMENDMENT

In response to the Office Action dated February 21, 2003, the period for response to which extends through May 21, 2003, please amend the above-identified application as follows:

IN THE CLAIMS:

Please cancel claims 12-20 without prejudice or disclaimer.

Please replace claims 1 and 5 with the following:

1. (Amended) A flat luminescence lamp, comprising:
  - a first substrate having a first surface and a second surface;
  - a second substrate having a first surface disposed facing opposite to the first surface of the first substrate;
  - a first luminescence layer formed on the first surface of the first substrate;
  - a second luminescence layer formed on the first surface of the second substrate;

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